

Chapter Number

Assessing and Restructuring Dysfunctional Cognitions

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1. Introduction

Cognition impacts clinically relevant aspects of day-to-day function, such as emotion, behavior, and interpersonal relationships, and involves structures necessary to support information processing. The exchange of interpersonal information in therapy typically comprises emotional states, behavioral symptoms, expectations for improvement, and experiences and meanings attached to experiences, that may occur according to implicit (non-conscious) and explicit (conscious) levels of awareness on the part of both the client and the therapist (Alford & Beck, 1997).

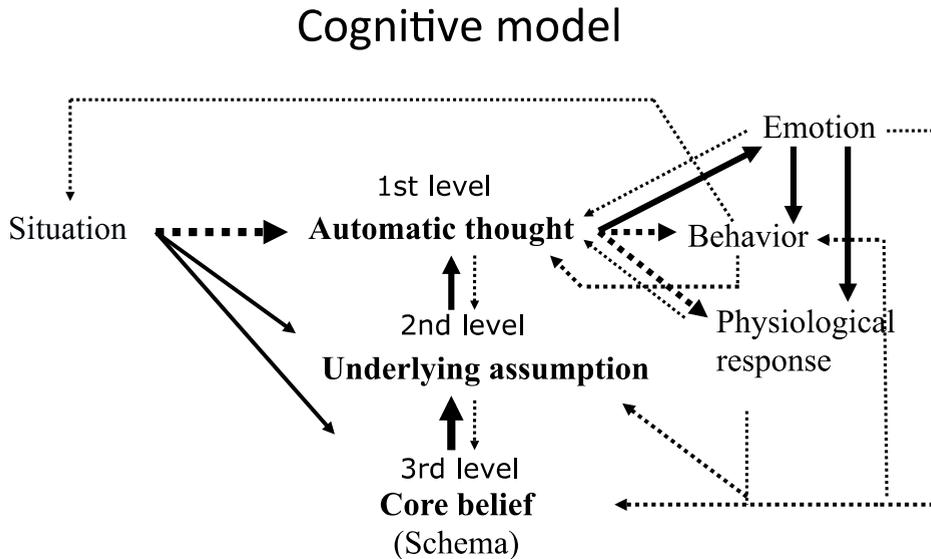
This chapter has two learning objectives: 1) help the patient to identify and change cognitions in the first and most superficial level of information processing – comprising negative automatic thoughts (ATs), and expressed as consistent errors in patients' thinking; 2) help the patient to identify and change cognitions in the second and intermediate level of information processing – comprising the underlying assumptions (UAs) or conditional beliefs.

Two other chapters in this book are focused on identifying and restructuring negative core beliefs (CBs) and schemas, conceptualized as the third and deeper level of information processing (Wenzel, 2011; de-Oliveira, 2011b).

2. Cognitive model

Cognitions may be assessed on at least three levels (Fig. 1). On a more superficial level of information processing, cognitions are known as ATs. Hollon & Kendall (1980) developed the Automatic Thoughts Questionnaire (ATQ-30), a 30-item questionnaire conceived to measure the frequency of occurrence of ATs, typically expressed as negative self-statements, and associated with depression. In the intermediate level of information processing, cognitions are usually called UAs or conditional beliefs. Weissman & Beck (1978) developed the Dysfunctional Attitude Scale to assess negative attitudes of depressed patients towards self, the outside world, and the future. Finally, in the deepest level of information processing, cognitions are known as CBs or schemas. Beck et al. (2001) proposed the Personality Beliefs Questionnaire, and Young and Brown (1994) developed the Young Schema Questionnaire to assess these beliefs.

1 It is largely recognized that cognitions and their relation to emotional and behavioral
 2 responses are complex phenomena. Fig. 1 illustrates the highly complex interactions
 3 between different elements of the cognitive model and the reciprocal influences of each
 4 element over the others.



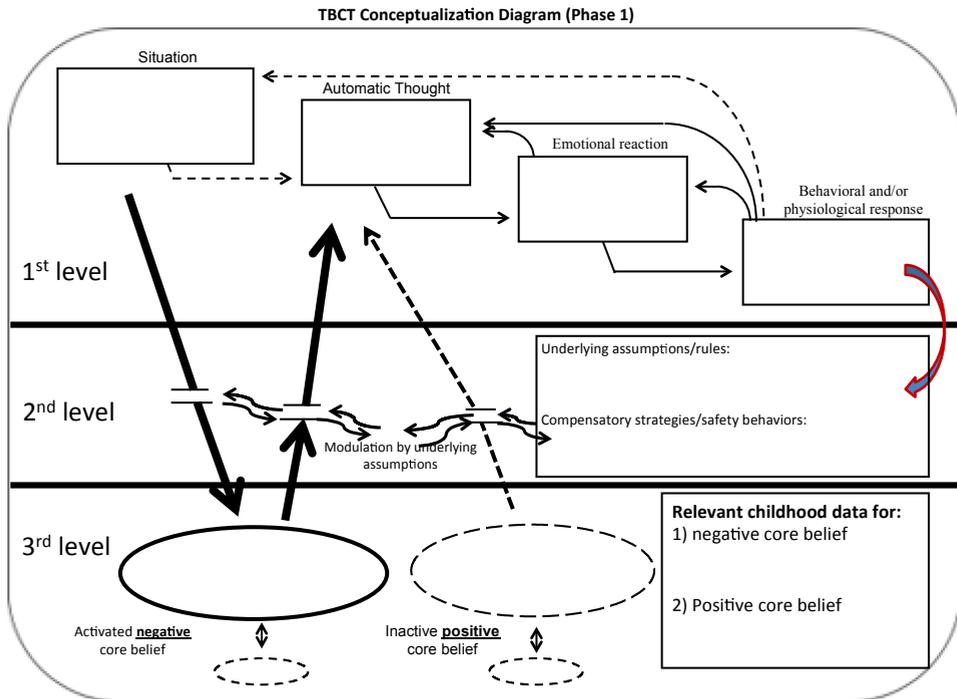
5
 6 Fig. 1. Complex interactions between cognitions and responses to cognitions.

7 The full arrows seen in Fig. 1 represent more direct effects and the interrupted arrows
 8 represent possible indirect effects in the chain of elements triggered by a situation. This is
 9 important, for instance, when the therapist explains why different situations provoke
 10 different reactions (interrupted arrow between *situation* and AT) in different people or in the
 11 same people in different situations. Considering this complex model, a diagram that could
 12 make these interactions more easily understandable for the client during the therapeutic
 13 process would be particularly useful.

14 3. Case conceptualization

15 Case conceptualization is a key element in cognitive-behavioral therapy (CBT), and may be
 16 defined as a description of a patient's presenting problems that uses theory to make
 17 explanatory inferences about causes and maintaining factors, as well as to inform
 18 interventions (Kuyken et al, 2005). However, sharing its components with patients may be a
 19 complex and difficult task. As a highly individualized work, it should be collaboratively
 20 built with the client, while educating him/her about the cognitive model. While there are
 21 numerous case conceptualization diagrams proposed by different authors for different
 22 disorders and problems, Judith Beck's diagram is the most well known and used (J.S. Beck,
 23 1995).

1 I designed a conceptualization diagram (shown in Figs. 2 and 3) to make the cognitive
 2 model easier to be understood by the client during therapy. It was developed for use in
 3 Trial-Based Cognitive Therapy (de-Oliveira, 2011a), but not limited to this approach, as its
 4 components are the same ones found in conventional CBT.



5
 6 Fig. 2. Conceptualization diagram showing an activated negative core belief.

7 In the first level of information processing shown in Fig. 2, a situation appraised by the
 8 patient as dangerous (*AT* box) would elicit anxiety (*emotional reaction* box) that could
 9 paralyze him/her (*behavioral and physiological responses* box). Arrows returning to the
 10 *emotional reaction*, *ATs* and *situation* boxes inform the patient about the circular nature of
 11 these interactions (confirmatory bias) that prevent him/her from reappraising the situation
 12 and consequently changing the erroneous perceptions it triggered.

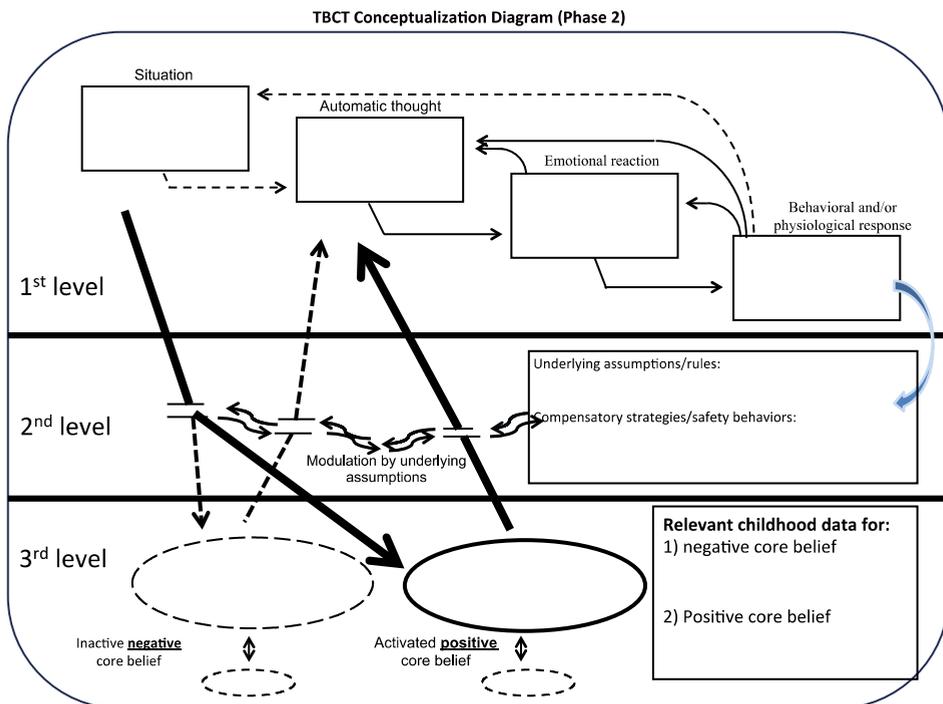
13 This diagram might also be useful to make the patient understand that behaviors used in
 14 specific situations that elicit less anxiety and consequently yield a sense of immediate relief
 15 (e.g., avoidance) may progressively become a *safety behavior* (arrow directed from the
 16 *behavioral and physiological responses* box from the first to the second level on the right
 17 side of the picture). This means that perceptions in the first level may progressively become
 18 *UAs* or *rules* that are now maintained by the *compensatory strategies* and *safety behaviors*
 19 (confirmatory bias) seen in the second level. Safety behaviors then assume a modulatory
 20 function. Under the influence of the *UAs* that support such behaviors, first level appraisals
 21 (*ATs*) may be repeatedly confirmed. Also, third level (unconditional) *CBs* may be activated

1 if UAs are challenged (for example, during exposure), or inactivated if UAs are not
2 challenged (for example, by avoidance).

3 Having sufficient practice in identifying and changing ATs by replacing them with more
4 functional alternative appraisals, the patient may progressively notice changes in the other
5 levels, for instance, activation of positive CBs. However, restructuring negative CBs (see
6 chapters ... and ... in this book) is considered an important step for more durable results in
7 therapy. Fig. 3 graphically illustrates such changes.

8 4. Dysfunctional ATs and cognitive distortions

9 ATs are rapid, evaluative thoughts that do not arise from deliberation or reasoning; as a
10 result, the person is likely to accept them as true, without analysis (J.S. Beck, 1995). It is not
11 uncommon for ATs to be distorted, and result in dysfunctional emotional reactions and
12 behaviors that, in turn, produce more cognitive errors that maintain the vicious circle (level
13 1 of Fig. 1).



14
15 Fig. 3. Conceptualization diagram showing an activated positive core belief.

16 Table 1 includes 15 known cognitive distortions, their definitions and examples (Burns,
17 1980; Beck, 1976; J.S. Beck 1995; Dryden & Ellis, 2001; Leahy, 2003). Teaching the patient to
18 identify cognitive distortions is an important step to restructure such dysfunctional ATs.
19 This may be done by means of the Intrapersonal Thought Record (IntraTR) described below.
20 It is illustrated with the case of a panic disorder patient.

	Cognitive distortions	Definitions	Examples
1	Dichotomous thinking (also called all-or-nothing, black and white, or polarized thinking)	I view a situation, a person or an event only in all-or-nothing terms, fitting them into only two extreme categories instead of on a continuum.	"I made a mistake, therefore I'm a failure". "I ate more than I planned, so I blew my diet completely" My example:
2	Fortune telling (also called catastrophizing)	I predict the future in negative terms and believe that what will happen will be so awful that I will not be able to stand it	"I will fail and this will be unbearable." "I'll be so upset that I won't be able to concentrate for the exam." My example:
3	Discounting or disqualifying the positive	I disqualify and discount positive experiences or events insisting that they do not count."	"I passed the exam, but I was just lucky." "Going to college is not a big deal, anyone can do it." My example:
4	Emotional reasoning	I believe my emotions reflect reality and let them guide my attitudes and judgments.	"I feel she loves me, so it must be true." "I am terrified of airplanes, so flying must be dangerous." My example:
5	Labeling	I put a fixed, global label, usually negative, on myself or others.	"I'm a loser." "He's a rotten person." "She's a complete jerk." My example:
6	Magnification/minimization	I evaluate myself, others, and situations magnifying the negatives and/or minimizing the positives.	"I got a B. This proves how inferior I am." "I got an A. It doesn't mean I'm smart." My example:
7	Selective abstraction (also called mental filter and tunnel vision)	I pay attention to one or a few details and fail to see the whole picture	"My boss said he liked my presentation, but since he corrected a slide, I know he did not mean it." "Even though the group said my work was good, one person pointed out an error so I know I will be fired." My example:
8	Mind reading	I believe that I know the thoughts or intentions of others (or that they know my thoughts or intentions) without having sufficient evidence.	"He's thinking that I failed". "She thought I didn't know the project." "He knows I do not like to be touched this way." My example:
9	Overgeneralization	I take isolated cases and generalize them widely by means of words such as "always", "never", "everyone", etc.	"Every time I have a day off from work, it rains." "You only pay attention to me when you want sex". My example:

10	Personalizing	I assume that others' behaviors and external events concern (or are directed to) myself without considering other plausible explanations.	"I felt disrespected because the cashier did not say thank you to me" (not considering that the cashier did not say thank you to anyone). "My husband left me because I was a bad wife"(not considering that she was his fourth wife). My example:
11	Should statements (also "musts", "oughts", "have tos")	I tell myself that events, people's behaviors, and my own attitudes "should" be the way I expected them to be and not as they really are.	"I should have been a better mother". "He should have married Ann instead of Mary". "I shouldn't have made so many mistakes." My example:
12	Jumping to conclusions	I draw conclusions (negative or positive) from little or no confirmatory evidence.	"As soon as I saw him I knew he had bad intentions." "He was looking at me, so I concluded immediately he thought I was responsible for the accident". My example:
13	Blaming (others or oneself)	I direct my attention to others as sources of my negative feelings and experiences, failing to consider my own responsibility; or, conversely, I take responsibility for others' behaviors and attitudes.	"My parents are the ones to blame for my unhappiness." "It is my fault that my son married a selfish and uncaring person." My example:
14	What if?	I keep asking myself questions such as "what if something happens?"	"What if my car crashes?" "What if I have a heart attack?" "What if my husband leaves me?" My example:
15	Unfair comparisons	I compare myself with others who seem to do better than I do and place myself in a disadvantageous position.	"My father always preferred my elder brother because he is much smarter than I am." "I am a failure because she is more successful than I am." My example:

1

2 Table 1. Cognitive distortions, definitions and examples.

4.1 Intrapersonal thought record

A premise of CBT is that exaggerated or biased cognitions often maintain or exacerbate stressful states such as depression, anxiety, and anger (Leahy, 2003).

Beck et al. (1979) developed the Dysfunctional Thought Record (DTR) as a worksheet to help patients respond to ATs more effectively, thereby modifying negative mood states. This approach is useful for many patients who use the DTR consistently. However, for some patients, the alternative thoughts generated through the DTR and intended to be perceived as adaptive and rational may still lack credibility (de-Oliveira, 2008). To address this issue, Greenberger & Padesky (1995) expanded the original 5-column DTR designed by Beck et al. (1979) to seven columns. The two additional columns were evidence columns, allowing the patient to include evidence that does and does not support the ATs, enabling the patient to develop more balanced thoughts, and thus improve associated emotional reactions and behaviors.

I devised the IntraTR in order to make the restructuring of ATs easier for the patient, and to allow him/her to connect the ATs to the conceptualization diagram shown in Figs. 2 and 3. The following case vignette of a panic disorder patient illustrates how the IntraTR and the conceptualization diagram can be used together in order to restructure dysfunctional ATs (de-Oliveira, 2011b).

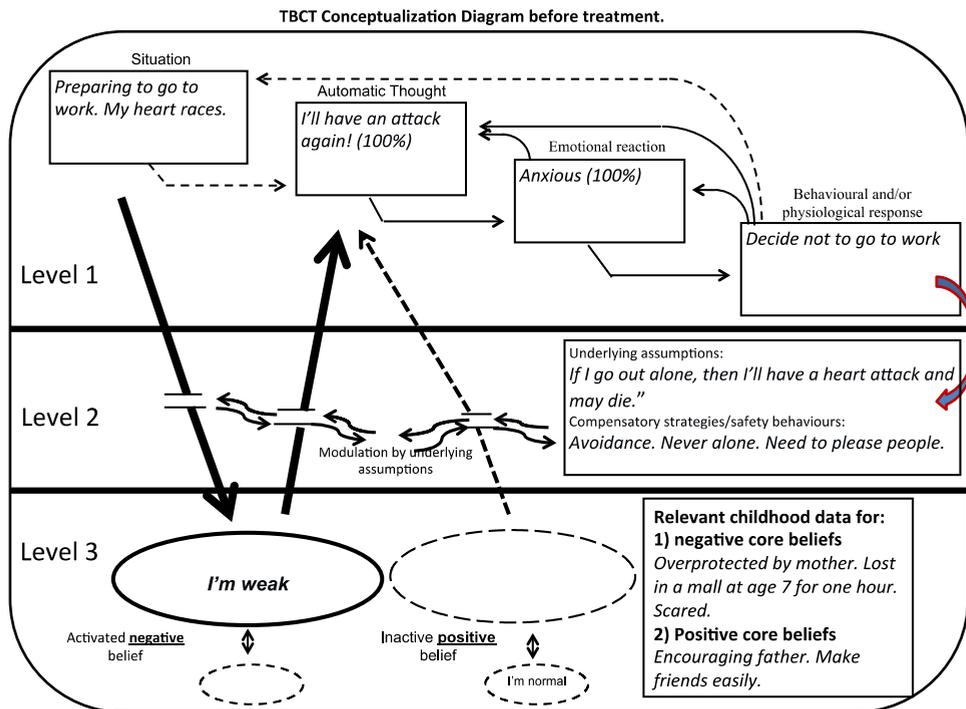
4.1.1 Case illustration

Sean, aged 35, had a 10-year history of frequent panic attacks with increasingly severe agoraphobia. SSRIs and benzodiazepines reduced his panic attacks' intensity and frequency, but his agoraphobia worsened, and for 3 years Sean had rarely left home alone. His fear of travelling even when accompanied limited his professional and personal life (his fiancée lived 200 miles away). Sean had 10 treatment sessions over 3 months. In session 1, he learned that fear and anxiety were normal, was introduced to the cognitive model (level 1 of the conceptualization diagram), and did interoceptive exposure by hyperventilating.

Sean was asked to learn about the cognitive distortions as homework. He received from the therapist a sheet (Table 1) containing names (column 1), definitions (column 2) and examples (column 3) of cognitive distortions. Also, Sean was asked to write down his own examples of cognitive distortions during the week in the space identified as "My example" in column 3 of Table 1. Identifying his own examples prepared Sean to be introduced to the Cognitive Distortions Questionnaire (CD-Quest) and the IntraTR, to be explored in session 2. In session 2, Sean completed the CD-Quest and an IntraTR in order to restructure his catastrophic ATs (e.g. "I'll lose control and go mad"). In session 3, Sean filled in 2 more IntraTRs. The CD-Quest was filled in weekly during the whole therapy process.

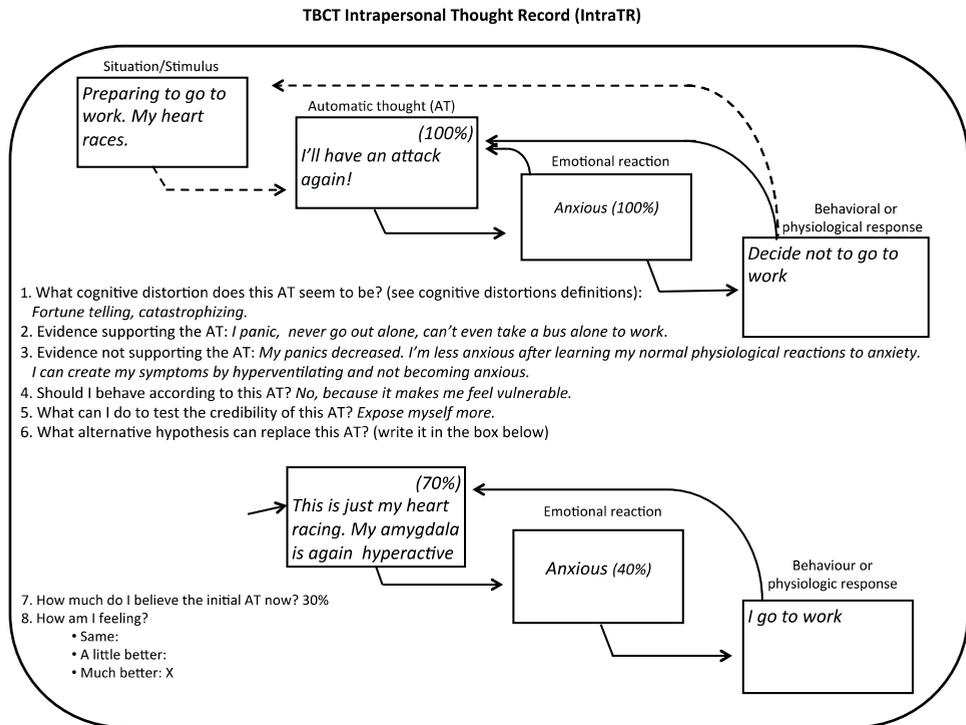
Fig. 4 illustrates Sean's conceptualization diagram, and Fig. 5 is the IntraTR filled in by Sean in session 2. In a situation in which he was preparing himself to go to work, he noticed his heart racing (situation box). Sean had the AT "I will have an attack again" (AT box), and felt anxious (emotional reaction box). Consequently, Sean decided not to go to

1 work (behavioral and physiological response box). The therapist asked Sean to examine
 2 the cognitive distortions sheet (Table 1) in order to identify possible thinking errors and
 3 fill in item 1 of the IntraTR (Fig. 5). Sean came up with fortune telling and catastrophizing.
 4 Items 2 and 3 of the IntraTR helped Sean to uncover the evidence supporting and not
 5 supporting the AT. Sean was then asked to find out any advantages of behaving
 6 according to the AT (item 4). The answer was "No, because it makes me feel vulnerable."
 7 The therapist asked Sean how he could test the credibility of the AT (item 5) and the
 8 answer was: "Expose myself more." Sean was then stimulated to bring an alternative,
 9 more adaptive, hypothesis to replace the AT, one which could better explain the situation.
 10 Sean said: "This is just my heart racing. My amygdala is again hyperactive," was
 11 considered a more plausible and credible explanation, which he believed 70%. His anxiety
 12 fell to 40%, and he became able to go to work. After this work, Sean believed the AT (item
 13 7) only 30%, and felt much better (item 8).*



14 Fig. 4. Sean's TBCT conceptualization diagram filled in at the beginning of treatment.
 15

*Sean's complete treatment may be assessed in the Common Language for Psychotherapy (CLP) procedures website (Trial-based cognitive therapy: <http://www.commonlanguagepsychotherapy.org>).



1
2 Fig. 5. One of Sean's IntraTRs filled in at the beginning of treatment.

3 4.2 CD-Quest

4 The Cognitive Distortions Questionnaire (CD-Quest) was developed as an operational
5 instrument, to be routinely used by patients to facilitate perceptions of the link between
6 cognitive errors and their consequent emotional states, as well as dysfunctional behaviors
7 (de-Oliveira et al. 2011). Also, it was designed to help therapists quantitatively assess and
8 follow the clinical evolution of patients by means of its scores. It comprises 15 items that
9 assess known cognitive distortions in two dimensions. The scores may range from 0 to 75.

10 In the first study conducted by our group (de-Oliveira et al. 2011), the initial psychometric
11 properties of the CD-Quest in its Brazilian Portuguese version in a sample of university
12 students were assessed. Medical and psychology students ($n = 184$; age = 21.8 ± 3.37) were
13 evaluated using the following instruments: CD-Quest, Beck Depression Inventory (BDI),
14 Beck Anxiety Inventory (BAI), and the Automatic Thoughts Questionnaire (ATQ). These
15 self-report instruments were applied collectively in classrooms. The CD-Quest showed good
16 internal consistency (0.83 - 0.86) and concurrent validity with BDI (0.65), BAI (0.51), and
17 ATQ (0.65). Furthermore, it was able to discriminate between groups possessing depressive
18 (BDI ≥ 12) and anxious (BAI ≥ 11) indicators from those not possessing such indicators
19 ($p < .001$). An exploratory factor analysis by means of principal components analysis with
20 varimax rotation showed the presence of four factors that together explained 56.6% of data

1 variance. The factors consisted of the following types of cognitive distortions: (a) Factor I:
2 dichotomous thinking, selective abstraction, personalizing, should statements, what if...,
3 unfair comparisons; (b) Factor II: emotional reasoning, labeling, mind reading, jumping to
4 conclusions; (c) Factor III: fortune telling, discounting positives, magnification /
5 minimization; and (d) Factor IV: overgeneralizing, blaming. It was concluded that the CD-
6 Quest was characterized by good psychometric properties, justifying the need for larger
7 studies designed to determine its predictive validity, expand its construct validity, and
8 measure the degree to which it is a useful measure of change achieved by patients in
9 cognitive behavioral therapy.

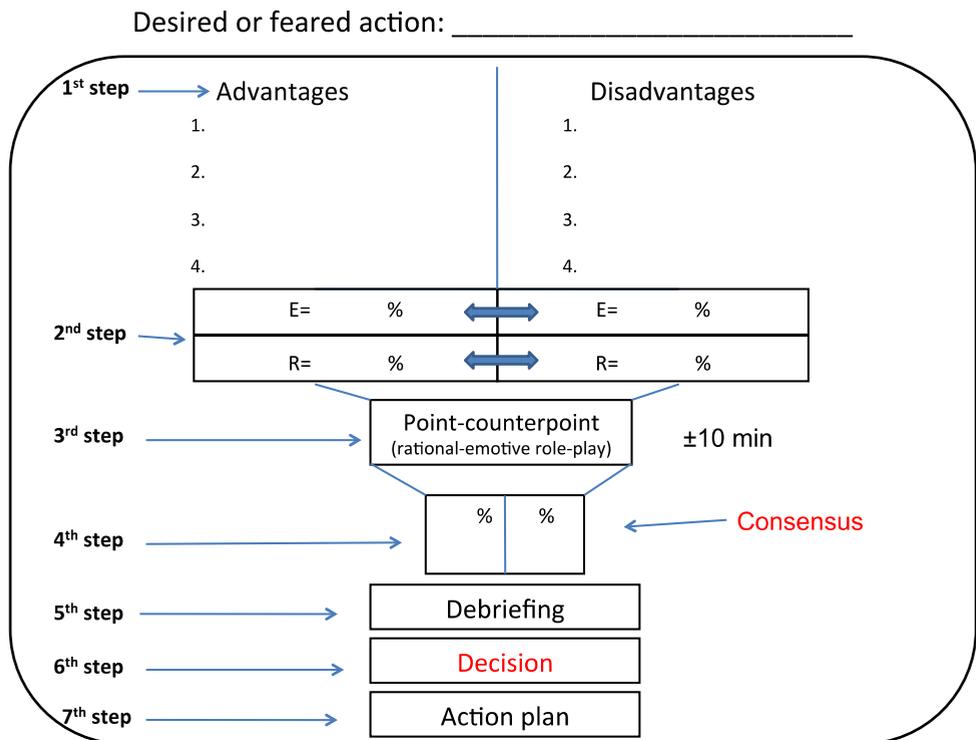
10 **5. UAs and safety behaviors**

11 Behavioral experiments are amongst the most powerful strategies for bringing about change
12 in CBT (Bennett-Levy et al. 2004), and provide a meeting ground for communication
13 between knowledge derived from the rational mind and emotional mind (Padesky, 2004).
14 Behavioral experiments are especially used to change UAs. These cognitions are expressed
15 as conditional beliefs such as "If I go out alone, then I will have a heart attack and may die."
16 Consequently, he or she usually avoids feared situations. In session 4 (see case illustration
17 above), Sean was helped to go over his conceptualization diagram (Fig. 4), and understand
18 that exposing himself to feared situations (for example, going out alone to work) was
19 necessary to overcome unpleasant emotions and behaviors. Consensual Role-Play (CRP), a
20 7-step decision-making method, was proposed by the therapist to facilitate Sean's
21 behavioral experiments (e.g. go out alone), and to challenge his safety behaviors (e.g.
22 avoidance).

23 Fig. 6 shows how therapist and patients can increase the chance of the patient confronting
24 situations made difficult by UAs and repeatedly reinforced safety behaviors. For example,
25 Sean was encouraged to list advantages and disadvantages of coming alone to the therapy
26 session (step 1). Then, he was helped by the therapist to confront the dissonance between
27 "reason" and "emotion" (Padesky, 2004). For instance, Sean gave a 70% weight to
28 advantages of going out alone (versus 10% for disadvantages) according to reason, but 90%
29 weight to disadvantages of going out alone (versus 30% for advantages) according to
30 emotion (step 2). By means of the empty chair approach (Greenberg, 2011), the therapist
31 asked Sean to reach a consensus between "reason" and "emotion" in a 15-minute dialogue
32 (step 3). After this step, the therapist asked Sean to assess the weight of advantages vs.
33 disadvantages, coming to a consensus between rational and emotional perspectives. Sean
34 was able to give an 80% weight for the advantages of going out alone vs. 20% weight for the
35 disadvantages of going out alone (step 4). Next, after a debriefing of what Sean learned from
36 this analysis (step 5), the therapist asked him if he was ready to make a decision: the answer
37 was "yes," and Sean decided that he was able to try going out alone as an experiment (step
38 6). In order to increase the chances of success, the therapist helped Sean organize an action
39 plan (Greenberger & Padesky, 1995), so that not only could Sean organize what to do, but he
40 could also anticipate obstacles and find their solutions (step 7).

41 Another strategy that may help patients to increase the chances of doing behavioral
42 experiments is providing a hierarchy of symptoms to which they are supposed to be
43 exposed in order to obtain symptom remission. After collecting a detailed list of symptoms

1 (e.g., OCD or social phobia symptoms), in which the patient scores each one according to the
 2 hierarchy shown in Fig. 6, the therapist informs him/her that there will be no focus on blue
 3 symptoms, but he/she will choose 2 or 3 green symptoms to practice exposure as
 4 homework during the week. In general, the therapist uses CRP to help patients accept to
 5 expose themselves to yellow symptoms, usually during therapy sessions. These are
 6 symptoms patients resist to confront when they are alone, and CRP seems to make this
 7 challenge acceptable, at least in the therapist's presence. The therapist explains the patients
 8 that he/she will NEVER need to challenge red symptoms. This information tends to make
 9 the patient more willing to comply with the technique because there is no pressure to
 10 confront the most anxiety provoking items. Therapist and patient keep track of individual
 11 and global symptom scores weekly. The patients notice that the scores continue to decrease
 12 (both those which he/she exposed him/herself to and those which he/she did not expose
 13 him/herself to). Patients are very surprised to realize that even red symptoms scores
 14 decrease, making exposure acceptable because they gradually become yellow or green.
 15 Showing the patient a global score chart helps him/her track weekly progress and notice
 16 scores change. The symptoms list to be filled out weekly is presented to the patient in a way
 17 that past scores are hidden, so that he/she will not be influenced by past symptoms scores.



18

19 Fig. 7. Consensual role-play (CRP) as a decision-making approach.

Patient's name:

Please, choose the scores (0-5) corresponding to what you would feel if you were to expose yourself to each item below.

Session	01	02	03	04	05	06	07	08	09	10	11	12	13	14	15	16	17	18	19	20
Date	/	/	/	/	/	/	/	/	/	/	/	/	/	/	/	/	/	/	/	/
Go to the supermarket	5	5	5	5	5	5	4													
Go out	5	4	3	3	3	1	0													
Touch objects coming from street	5	5	5	5	4	3	3													
Touch people	5	5	5	5	5	5	4													
Be touched by people	5	5	5	5	5	5	4													
Touch money	5	5	5	5	5	5	5													
Eat with hands	4	4	3	2	1	0	0													
Not washing hands	5	5	5	5	5	4	2													
Touch telephone	5	5	5	5	5	5	4													
Touch door knob	5	5	5	5	5	5	5													
Not discard towel after bath	3	2	1	0	0	0	0													
Work with computer	2	2	2	1	0	0	0													
Touch mail	3	3	3	2	2	1	0													
Touch shoes	5	5	5	5	5	5	5													
Touch/hug daughters	5	5	4	4	3	2	0													
Kiss daughters	5	5	4	3	3	3	0													
Kiss and being kissed by others	5	5	5	5	4	4	3													
Wash dishes	2	1	0	0	0	0	0													
Touch your string of beads	1	0	0	0	0	0	0													
Touch therapist's hand	2	1	0	0	0	0	0													
Touch her books	3	3	3	2	1	0	0													
TOTAL SCORE (sum of individual items)	85	80	73	67	61	53	39													
Number of exposures you do not allow yourself to do (reds and yellows)	14	14	12	11	10	9	7													

1 Table 1. Scores of OCD symptoms according to the Color Coded Symptom Hierarchy card
2 in a patient.

0 =	Exposure is comfortable or indifferent.
1 =	Exposure is slightly uncomfortable.
2 =	Exposure is clearly uncomfortable.
3 =	Exposure is very uncomfortable.
4 =	Exposure is so distressful that I do it only if really necessary.
5 =	Exposure is so distressful that I cannot imagine myself doing it.

1 Fig. 8. Color coded symptoms hierarchy card to facilitate exposure implementation.

2 6. Conclusion

3 Restructuring dysfunctional ATs is an important step in changing such superficial, but not
 4 least important, cognitions. However, because ATs are determined by the activation of
 5 negative core beliefs, restructuring and changing these beliefs is the most significant step for
 6 the patient. These procedures are shown in chapters ... (Wenzel, 2011) and ... (de-Oliveira,
 7 2011b) in this book. The present chapter illustrates how to introduce the cognitive model to
 8 the patients by means of a conceptualization diagram, using the IntraTR to help patients
 9 change ATs, and the CD-Quest to assess and challenge cognitive distortions. Finally, I
 10 introduced the CRP, a set of cognitive techniques shaped to help patients make decisions
 11 involving the confrontation of safety behaviors, and consequently facilitating the
 12 modification of dysfunctional UAs.

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